

# CLASSICAL SYMPLECTIC FAMILIES FOR $GL(2\mathbb{N})$

Chris Williams  
joint w/ Daniel Barina &  
Andy Graham

## §1: Congruences for modular forms

Let  $p$  prime,  $N$  prime to  $p$ ,  $k \in \mathbb{N}$ ,

$$f(q) = \sum_{n \geq 0} a_n q^n \in M_k(\Gamma_0(Np)) \quad (\text{normalized}) \text{ eigenform.}$$

Question: For  $m \geq 1$ , does there exist an eigenform

$$g(q) = \sum_{n \geq 0} b_n q^n \in M_k(\Gamma_0(Np))$$

such that

$$f \equiv g \pmod{p^m} \iff \forall n, a_n \equiv b_n \pmod{p^m} ?$$

Example: ( $p$ -adic properties of Eisenstein series).  $k \geq 4$  even,  $N=1$ ,

$$E_k(q) := \frac{\zeta(1-k)}{2} + \sum_{n \geq 1} \sigma_{k-1}(n) q^n \in M_k(SL_2(\mathbb{Z})),$$

$$\sigma_{k-1}(n) = \sum_{d|n} d^{k-1}.$$

Let

$$\tilde{E}_k(q) := E_k(q) - p^{k-1} E_k(q^p) \in M_k(\Gamma_0(p))$$

$$= \frac{(1-p^{k-1})\zeta(1-k)}{2} + \sum_{n \geq 1} \tilde{\sigma}_{k-1}(n) q^n,$$

$$\tilde{\sigma}_{k-1}(n) = \sum_{\substack{d|n \\ (p,d)=1}} d^{k-1}.$$

" $p$ -refinement"

If  $k \equiv l \pmod{p-1}$ , then:

$$- \text{FLT: } \tilde{\sigma}_{k-1}(n) \equiv \tilde{\sigma}_{l-1}(n) \pmod{p} \quad \forall n,$$

$$- \text{Kummer: } (1-p^{k-1})\zeta(1-k) \equiv (1-p^{l-1})\zeta(1-l) \pmod{p}$$

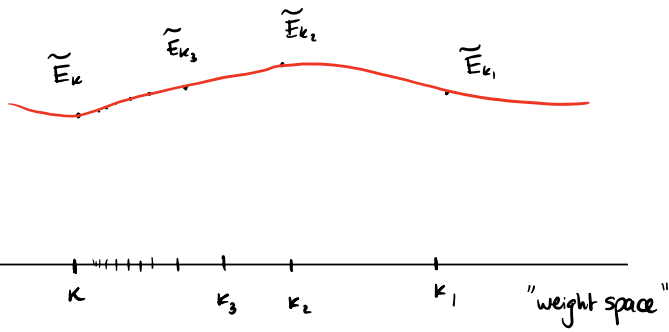
$$\left. \begin{array}{l} \\ \end{array} \right\} \Rightarrow \tilde{E}_k \equiv \tilde{E}_l \pmod{p}.$$

Same proof shows:

$$\left[ k \equiv l \pmod{\phi(p^m)} \right] \Rightarrow \left[ \tilde{E}_k \equiv \tilde{E}_l \pmod{p^m} \right].$$

Theorem: Let  $(k_m) \subset \mathbb{Z}$  with  $k_m \rightarrow k$   $p$ -adically. Then

$$\tilde{E}_{k_m} \longrightarrow \tilde{E}_k \quad \text{p-adically.}$$



Thus: the Eisenstein series  $\tilde{E}_k$  can be interpolated into a 1-dimensional p-adic family.

Amazing thing: you can also do this for cusp forms!

- More precisely:
- $f \in S_k(\Gamma_0(N))$  newform,
  - $\tilde{f} \in S_k(\Gamma_0(Np))$  p-refinement.

There are 2 choices of  $\tilde{f}$ : 
$$\begin{cases} f(q) - \alpha f(q^p), \\ f(q) - \beta f(q^p), \end{cases} \quad \text{where } (X-\alpha)(X-\beta) = X^2 - a_p(f)X + p^{k-1}.$$

Theorem (Hida, Coleman-Mazur). There is a 1-dimensional classical p-adic family through  $\tilde{f}$ .

### §2: The eigencurve

Better approach:  $\{\text{eigenforms}\} \longleftrightarrow \{\text{systems of Hecke eigenvalues}\}.$

Definition: The Hecke algebra is  $\mathcal{H} = \mathbb{Q}_p[\{T_\ell : \ell \times Np\}, U_p].$

If  $g$  is an eigenform, we have an attached eigensystem

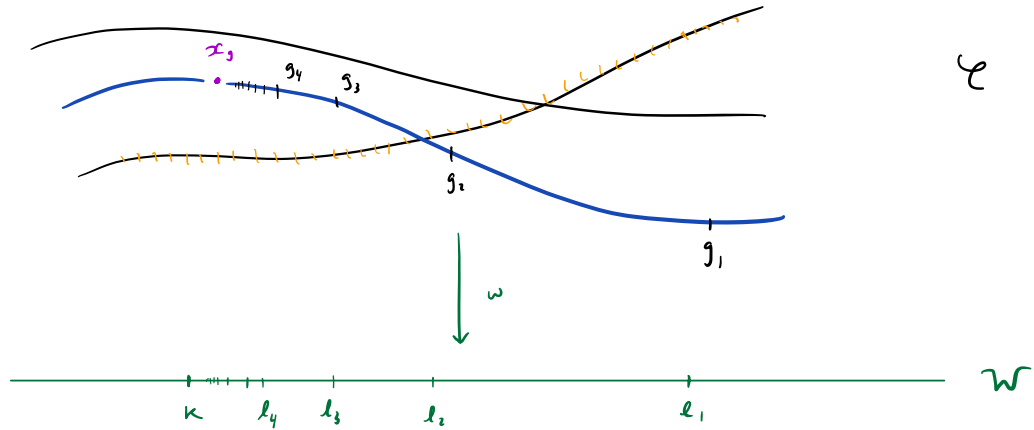
$$\phi_g : \mathcal{H} \longrightarrow \overline{\mathbb{Q}_p}$$

such that

$$Tg = \phi_g(T)g \quad \forall T \in \mathcal{H}.$$

(e.g.  $T_\ell \mapsto a_\ell(g)$ ; send  $T$  to its eigenvalue on  $g$ )

Theorem (Coleman-Mazur) There exists an eigencurve, a moduli space for systems  $\phi : \mathcal{H} \longrightarrow \overline{\mathbb{Q}_p}$  appearing in (p-adic) modular forms of level  $Np$ .



Proposes: 1)  $\exists$  weight space  $\mathcal{W} = 1\text{-dim rigid analytic space}$

$\cup$   
 $\mathcal{Z} = \text{"classical weights"}$ ,

and a weight map  $w: \mathcal{Z} \rightarrow \mathcal{W}$ .

2)  $\tilde{f} \in S_\kappa(\Gamma_0(N_p)) \rightsquigarrow \phi_{\tilde{f}} \rightsquigarrow x_{\tilde{f}} \in \mathcal{Z}$ , a classical point

3) Classical Family: subspace where classical points are Zariski-dense.

Theorem: (Coleman-Mazur).  $\exists$  1-dimensional classical family through any  $x_{\tilde{f}}$ .

Corollary:  $\forall m \geq 1, \exists l_m \geq 1$  and eigenforms  $g_m \in S_{l_m}(\Gamma_0(N_p))$

such that

$$\tilde{f} \equiv g_m \pmod{p^m}, \quad l_m \rightarrow \infty.$$

§3: Generalisations

(modular forms are automorphic forms for  $GL_2/\mathbb{Q}$ ).

More generally:

$GL_2/\mathbb{Q} \rightsquigarrow$  reductive group  $G$ ,  
 $f \rightsquigarrow$  cuspidal automorphic rep'n  $\pi$  of  $G(\mathbb{A})$ ,  
 $\phi_{\tilde{f}} \rightsquigarrow$  eigensystem  $\phi_{\tilde{f}}$  appearing in  $\pi^{\text{hw}}$   
 (a "classical cuspidal eigensystem").

Question: Does every classical cuspidal eigensystem vary in a classical p-adic family?

Two cases:

- (A) -  $G(\mathbb{R})$  admits discrete series  
- cusp forms appear in 1 degree of cohomology  
- expect every  $\phi_{\mathbb{H}}$  varies in classical family
- (B) - No discrete series  
- cusp forms in multiple degrees  
- expect  $\exists \phi_{\mathbb{H}}$  that don't vary in classical family
- e.g. Hilbert mod forms, Siegel mod forms, any  $G$  admitting a Shimura variety
- e.g. Bianchi mod forms,  $GL_n$  for  $n \geq 3$

Folklore expectation: "every classical family comes from case A."

↳ In case (A), there are always systematic congruences between eigensystems.  
In case (B), there are no new systematic congruences: all such congruences are induced from a case (A) setting.

Conjectures: (1) (Calogari-Mazur) Every classical cuspidal Bianchi family is either:

- twisted base-change transfer from  $GL_2/\mathbb{Q}$ ,
- CM transfer from  $GL_1/K$ ,  $K/\mathbb{F}$  quadratic.

(2) (Ash-Pollack-Stevens) Every classical cuspidal family for  $GL_3$  is symmetric square transfer from  $GL_2/\mathbb{Q}$ .

→ not seeing any "new" congruences, in either case.

### §4: Symplectic families

(we treat  $GL_{2n}$ . I will specialise to  $GL_4$ ).

Let  $\pi$  = cohomological cuspidal automorphic representation of  $GL_n(\mathbb{A})$ . Assume:

- $\pi_p$  is unramified and regular,
- $\pi$  is symplectic  $\Leftrightarrow$  transfer of some  $\Pi$  on  $GSp_n$ .

There are 24  $p$ -refinements  $\tilde{\pi}$  of  $\pi$  ( $\Leftrightarrow \dim \Pi_p^{lw} = 24$ ).

Conjecture: (Barrera-Graham-W.) Of the 24  $p$ -refinements,

- 8 vary in a 2-dimensional classical family,
- 8 vary in a 1-dimensional classical family,
- 8 vary in no classical family.

Theorem: (BGW).

- (1) Any symplectic classical family through  $\tilde{\pi}$  has at most the conjectured dimension.
- (2) If  $\tilde{\pi}$  has non-critical slope,  $\exists$  a symplectic classical family through  $\tilde{\pi}$  of exactly the conjectured dimension.

(1)  $\Rightarrow$  there are no "new" systematic congruences between eigensystems in symplectic representations.

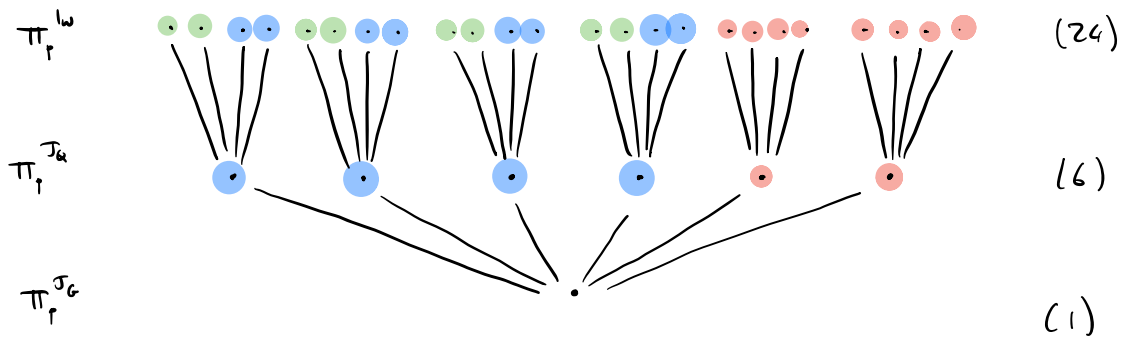
Key idea: Refinements are stratified by parabolic subgroups  $P \subset G$ . Let

$$J_p := \{g \in GL_4(\mathbb{Z}_p) : g \pmod{p} \in Q(\mathbb{F}_p)\}.$$

There are 3 interesting parabolics:

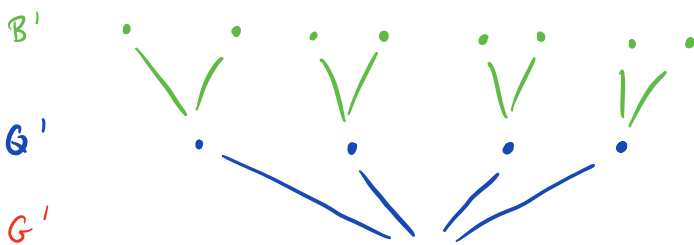
*"unlike  $GL_2$ , can find interesting eigensystems in between  $B \subset G$ "*

|  |                    |                            |                               |                    |                  |
|--|--------------------|----------------------------|-------------------------------|--------------------|------------------|
| $B = \begin{pmatrix} * & & & \\ & * & & \\ & & * & \\ & & & * \end{pmatrix}$ | $\rightsquigarrow$ | $J_B = I_w$                | $\Pi_r^{I_w} = 24\text{-dim}$ | $\rightsquigarrow$ | 24 B-refinements |
| $\wedge$   |                    | $\wedge$                   | $\cup$                        |                    |                  |
| $Q = \begin{pmatrix} * & & & \\ & * & & \\ & & * & \\ & & & * \end{pmatrix}$ | $\rightsquigarrow$ | $J_Q$                      | $\Pi_r^{J_Q} = 6\text{-dim}$  | $\rightsquigarrow$ | 6 Q-refinements  |
| $\wedge$   |                    | $\wedge$                   | $\cup$                        |                    |                  |
| $G = (*)$  | $\rightsquigarrow$ | $J_G = GL_4(\mathbb{Z}_p)$ | $\Pi_r^{J_G} = 1\text{-dim}$  | $\rightsquigarrow$ | 1 G-refinement   |



For  $Sp_4$ :

|   |                    |                                 |                    |                                      |
|---|--------------------|---------------------------------|--------------------|--------------------------------------|
| $B' = \begin{pmatrix} \text{zigzag} \\ \text{zigzag} \end{pmatrix}$ | $\rightsquigarrow$ | $\Pi_r^{J_{B'}} = 8\text{-dim}$ | $\rightsquigarrow$ | 8 B'-refs, varying in 2-dim families |
| $\wedge$  |                    | $\cup$                          |                    |                                      |
| $Q' = \begin{pmatrix} \text{zigzag} \\ \text{zigzag} \end{pmatrix}$ | $\rightsquigarrow$ | $\Pi_r^{J_{Q'}} = 4\text{-dim}$ | $\rightsquigarrow$ | 4 Q'-refs, varying in 1-dim fams     |
| $\wedge$  |                    | $\cup$                          |                    |                                      |
| $G' = ( )$  | $\rightsquigarrow$ | $\Pi_r^{J_{G'}} = 1\text{-dim}$ |                    |                                      |



For every  $\tilde{\pi}$ ,  $\exists!$  minimal  $P \subset G$  s.t. " $\tilde{\pi}$  is the transfer of a P-refinement of  $\Pi$ ":

8 for B, 8 for Q,  
and 8 for G.